

D.Romeo

Re-run

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## RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/903,806B  
Source: 1600  
Date Processed by STIC: 4/10/2003

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN ASSISTANCE: e-mail: [robert.wax@uspto.gov](mailto:robert.wax@uspto.gov) Telephone: 703-306-4119

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>), EFS Submission User Manual - ePAVE
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202  
EFFECTIVE MAY 1, 2003 (via USPS): Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry directly to:  
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name,  
Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202  
Or  
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two,  
2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office,  
Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 04/01/2003

**Raw Sequence Listing Error Summary**

<u>ERROR DETECTED</u>	<u>SUGGESTED CORRECTION</u>	<u>SERIAL NUMBER:</u> <u>09/903,806B</u>
<b>ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE</b>		
1 <input type="checkbox"/> Wrapped Nucleic Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2 <input type="checkbox"/> Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3 <input checked="" type="checkbox"/> Misaligned Amino Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.	
4 <input type="checkbox"/> Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5 <input type="checkbox"/> Variable Length	Sequence(s) _____ contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6 <input type="checkbox"/> PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequence(s) _____. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
7 <input type="checkbox"/> Skipped Sequences (OLD RULES)	Sequence(s) _____ missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped	
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.	
8 <input type="checkbox"/> Skipped Sequences (NEW RULES)	Sequence(s) _____ missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000	
9 <input type="checkbox"/> Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa; and which residue n or Xaa represents.	
10 <input type="checkbox"/> Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence	
11 <input type="checkbox"/> Use of <220>	Sequence(s) _____ missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	
12 <input type="checkbox"/> PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
13 <input type="checkbox"/> Misuse of n	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.	



1600

**RAW SEQUENCE LISTING**  
PATENT APPLICATION: US/09/903,806B

DATE: 04/10/2003  
TIME: 15:18:51

Input Set : A:\P1618P2C3.txt  
Output Set: N:\CRF4\04102003\I903806B.raw

w--> 1 Patin Docket Preview delete

7 <110> APPLICANT: Chen, Jian  
 8 Goddard, Audrey  
 9 Gurney, Austin L.  
 10 Hillan, Kenneth  
 11 Pennica, Diane  
 12 Wood, William I.  
 13 Yuan, Jean  
 15 <120> TITLE OF INVENTION: Secreted and Transmembrane Polypeptides and Nucleic  
 16 Acids Encoding the Same  
 18 <130> FILE REFERENCE: P1618P2C3  
 20 <140> CURRENT APPLICATION NUMBER: US 09/903,806B  
 21 <141> CURRENT FILING DATE: 2001-07-11  
 23 <150> PRIOR APPLICATION NUMBER: US 09/665,350  
 24 <151> PRIOR FILING DATE: 2000-09-18  
 26 <150> PRIOR APPLICATION NUMBER: PCT/US00/04414  
 27 <151> PRIOR FILING DATE: 2000-02-22  
 29 <150> PRIOR APPLICATION NUMBER: PCT/US98/18824  
 30 <151> PRIOR FILING DATE: 1998-09-10  
 32 <150> PRIOR APPLICATION NUMBER: US 60/062,287  
 33 <151> PRIOR FILING DATE: 1997-10-17  
 35 <160> NUMBER OF SEQ ID NOS: 424

Does Not Comply  
Corrected Diskette Needed

Does Not Comply  
Corrected Diskette Needed

pp 1-2

**ERRORED SEQUENCES**

15186 <210> SEQ ID NO: 424  
 15187 <211> LENGTH: 17  
 15188 <212> TYPE: PRT  
 15189 <213> ORGANISM: Artificial sequence  
 15191 <220> FEATURE:  
 15192 <223> OTHER INFORMATION: Artificial polypeptide  
 15194 <220> FEATURE:

w--> 15195 <221> NAME/KEY: Artificial Sequence  
 15196 <222> LOCATION: 1, 4, 6, 8, 10, 12, 14, 16 → Each location is an Xaa.  
 15197 <223> OTHER INFORMATION: Artificial Sequence This does not explain what Xaa's represent.  
 15199 <220> FEATURE:  
 15200 <221> NAME/KEY: unsure  
 15201 <222> LOCATION: 9, 11, 13, 15, 17 → Each location shows  
 15202 <223> OTHER INFORMATION: unknown amino acid? a clearly indicated amino acid  
 15204 <400> SEQUENCE: 424

E--> 15205 Xaa Asn Cys Xaa Cys Xaa ( ) Cys Xaa Cys Xaa Cys Xaa Gly Xaa  
 15206 1 5 10 10 15

If the " represents a gap, invalid  
 Le amino acids following the " Delete.  
 Need to be in a new sequence (new SEQ ID NO.)  
 file:///C:/CRF4/Outhold/VsrI903806B.htm

misaligned amino acid nos. - see  
 item 3 on Error Summary Sheet

4/10/03

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/903,806B

DATE: 04/10/2003  
TIME: 15:18:52

Input Set : A:\P1618P2C3.txt  
Output Set: N:\CRF4\04102003\I903806B.raw

E--> 15208 Cys Xaa Asn

15

Use of n and/or Xaa has been detected in the Sequence Listing.  
Review the sequence listing to insure a corresponding  
explanation is presented in the <20> to <23> fields of  
each sequence listing or Nra.

(P)J  
Use of n and/or Xaa has been detected in the Sequence Listing.  
Review the Sequence Listing to insure a corresponding  
explanation is presented in the <20> to <23> fields of  
each sequence listing or Nra.

## VERIFICATION SUMMARY

PATENT APPLICATION: US/09/903,806B

DATE: 04/10/2003

TIME: 15:18:53

Input Set : A:\P1618P2C3.txt

Output Set: N:\CRF4\04102003\I903806B.raw

L:1 M:259 W: Allowed number of lines exceeded, (1) GENERAL INFORMATION:  
L:585 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13 after pos.:0  
M:341 Repeated in SeqNo=13  
L:902 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:26 after pos.:0  
L:2087 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:50 after pos.:50  
L:4499 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:113 after pos.:1450  
L:5070 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:131 after pos.:1800  
L:6720 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:174 after pos.:1650  
L:6896 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:175 after pos.:525  
L:8258 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:206 after pos.:950  
M:341 Repeated in SeqNo=206  
L:15195 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:424  
L:15205 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:424 after pos.:0  
L:15205 M:333 E: Wrong sequence grouping, Amino acids not in groups!  
L:15205 M:330 E: (2) Invalid Amino Acid Designator, NUMBER OF INVALID KEYS:1  
M:341 Repeated in SeqNo=424  
L:15208 M:252 E: No. of Seq. differs, <211> LENGTH:Input:17 Found:18 SEQ:424